

FAMILIARIZATION PROGRAM FOR INDEPENDENT DIRECTORS OF NDA SECURITIES LIMITED

This Familiarization Program (“the Program”) for Independent Directors of NDA Securities Limited (“the Company”) has been adopted by the Board of Directors pursuant to Clause 49 of the Listing Agreement.

1. Purpose

The Program aims to provide insights into the Company to enable the Independent Directors to understand its business in depth and contribute significantly to the Company.

2. Familiarization Process

- The Company shall through its Executive Directors / Senior Managerial Personnel conduct programs / presentations periodically to familiarize the Independent Directors with the strategy, operations and functions of the Company;
- Such programs / presentations will provide an opportunity to the Independent Directors to interact with the senior leadership team of the Company and help them to understand the Company's strategy, business model, operations, service and product offerings, markets, organization structure, finance, human resources, technology, quality, facilities and risk management and such other areas as may arise from time to time;
- The programs / presentations shall also familiarize the Independent Directors with their roles, rights and responsibilities;
- The Company may circulate news and articles related to the industry on a regular basis and may provide specific regulatory updates from time to time; and
- The Company may conduct an introductory familiarization program / presentation, when a new Independent Director comes on the Board of the Company.

3. Disclosure of the Policy

This Policy shall be uploaded on the Company's website for public information and a web link for the same shall also be provided in the Annual Report of the Company.

Review of the Program

The Board will review this Program and make revisions as may be required.

NDA Securities Limited

Details of the Familiarization Programmes for Independent Directors.

Financial Years	Date	Place	Number of Programme	Number of Hours	Attended By
2014-15	30.05.2014 13.11.2014	Reg. Office: 1002A, Arunachal, 19, Barakhamba Road, New Delhi-110001	2	3	Mr. Uma Shanker Gupta, Mr. Ram Kishan Sanghi, Mr. Lalit Kumar Gupta
2015-16	28.05.2015 09.11.2015	Reg. Office: 1002A, Arunachal, 19, Barakhamba Road, New Delhi-110001	2	3	Mr. Uma Shanker Gupta, Mr. Ram Kishan Sanghi, Mr. Lalit Kumar Gupta
2016-17	27.05.2016 11.11.2016	Reg. Office: 1002A, Arunachal, 19, Barakhamba Road, New Delhi-110001	2	2	Mr. Uma Shanker Gupta, Mr. Ram Kishan Sanghi, Mr. Lalit Kumar Gupta
2017-18	29.05.2017 14.11.2017	Reg. Office: 1002A, Arunachal, 19, Barakhamba Road, New Delhi-110001	2	3	Mr. Uma Shanker Gupta, Mr. Ram Kishan Sanghi, Mr. Lalit Kumar Gupta
2018-19	28.05.2018 13.08.2018	Reg. Office: 1002A, Arunachal, 19, Barakhamba Road, New Delhi-110001	2	2	Mr. Uma Shanker Gupta, Mr. Ram Kishan Sanghi, Mr. Lalit Kumar Gupta
2019-20	28.05.2019	Reg. Office: E-157, 2 nd Floor, Kalkaji, New Delhi -110019	1	1	Mr. Uma Shanker Gupta, Mr. Ram Kishan Sanghi, Mr. Lalit Kumar Gupta
2020-21	20.07.2020	Reg. Office: E-157, 2 nd Floor, Kalkaji, New Delhi -110019	1	1	Mr. Uma Shanker Gupta, Mr. Ram Kishan Sanghi
2021-22	14.11.2022	Reg. Office: E-157, 2 nd Floor, Kalkaji, New Delhi -110019	1	1	Mr. Uma Shanker Gupta, Mr. Ram Kishan Sanghi
Total			13	16	